

# WQM POLICIES AND STRATEGIES FOR SOUTH AFRICA

WP 10978

Implications of the WQM Policy and IWQM Strategy for Implementation

Provincial Workshop

October/November 2016

## **DISCUSSION POINTS**

- 1 Introduction and Progress
- 2 Background to WQ and WQM in South Africa
- 3 WQM Policy
- 4 Developing the IWQM Strategy
- 5 IWQMS Workshops
- 6 Way Forward

# STEPS TOWARD THE WQM POLICY & STRATEGY

In response to prioritised WQ issues

High-level description of WQ issues

Prioritisation of WQ issues

Root cause analysis

Insights from Literature Review

WQM SWOT analysis Consolidation and way forward

**Outcome 1:** 

consensus on WQ issues

**Outcome 2:** 

Identification of WQM challenges and gaps

**Outcome 3:** 

Foundation for policy, strategy processes

#### **CORE CONCEPT:**

Water Quality affects the economy and societies well-being

### Water quality is a developmental issue

Although the Department of Water and Sanitation is the **Sector Leader** in WQM, **everyone has a role to play** and a joint approach between **government departments**, the **private sector** (through initiatives like the SWPN) and **civil society** forms the basis of tackling the water quality challenges facing the country.

### Water Quality is Everyone's



## DEVELOPMENT OF THE WQM POLICY

The Policy is a **collation of existing policies** as they relate to WQM, with stakeholder inputs and a literature survey used to identify gaps.

It draws on the 1991 WQM policy, the 2006 RDMWQ Policy, the **NDP**, the **NWA**, the **NWRS**, the Sustainable Development Agenda (**SDGs**, Agenda 2063), current DWS Policies (such as the **Mine Water Policy**) and other Departmental Policies (DMR, DEA, DAFF, DoA, DRDLR, etc)

It strengthens existing policy but also proposes a few new positions. The largest being that WQM needs to be an inter-governmental responsibility, with DWS as the sector leader.

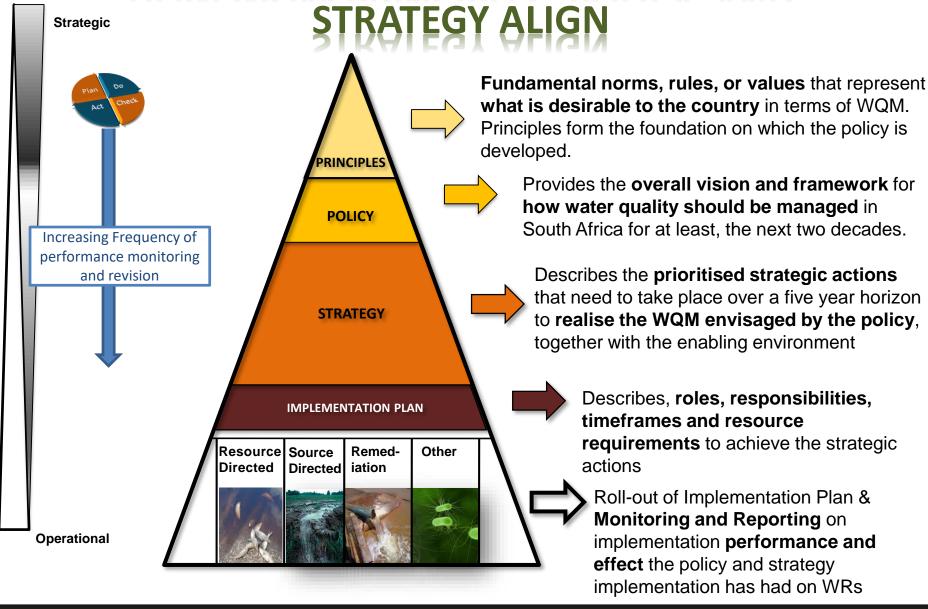
It is hoped that **further consultations will strengthen the policy** even more.



# AIM OF THE WQM POLICY

- Elevate water quality and water quality management on the country's agenda.
- Formalise and institutionalise a common approach to water quality management in South Africa.
- Support a consistent inter-departmental approach to how water quality is managed in the country.
- Support cooperative and integrated approaches to water quality management across sectors.
- Address operational aspects such as taking an integrated approach, broadening finance mechanisms and improving knowledge and information in the execution of the water quality management function.
- **Provide a framework to realise improvements** in water quality in key systems.
- Guide the development of an IWQM Strategy.

## HOW WQM PRINCIPLES, POLICY AND



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# WQM POLICY PRINCIPLES

#### **GOVERNANCE**

- Principle 1: Government-wide water quality management
- Principle 2: Subsidiarity and accountability
- Principle 3: Transboundary water quality management
- Principle 4: Partnerships
- Principle 5: Administrative fairness and implementability
- Principle 6: Administrative Penalties

## ECONOMIC AND FINANCE

- Principle 7: Water quality is a developmental issue
- Principle 8: Broadened funding mechanisms
- Principle 9: Polluter pays

#### **OPERATIONAL**

- Principle 10: An integrated and adaptive approach
- Principle 11:
   Hierarchies of water use and pollution management decision-making
- Principle 12:
   Green/ecological
   Infrastructure
   restoration and
   rehabilitation
- Principle 13: Risk-based approach

## DATA AND INFORMATION

- Principle 14: Collection and protection of data
- Principle 15: Publicly available information

The term "new policy position" refers to: new in how it applies to WQM

## **WQM POLICY RESPONSE**

- WQM Policy is divided into 4 Chapters.
- Each chapter describes
  - The <u>problem/challenge</u> that needs to be resolved through policy;
  - The <u>response</u> to mitigate the challenge; and
  - The <u>principles it is adopting in</u> responding to the challenge



## **CHAPTER A: INCLUSIVE WQM FOR SOUTH AFRICA**

#### Deals with:

- The need to see/understand the impact of water quality on the socio-economic and ecological development in the country
- The need for an **intra- and inter-departmental response to the WQM challenges** in the country,
- Some of the key policy aspects that must be addressed in achieving such an approach,
- The need to build partnerships between government departments, civil society and the private sector in order to be able to successfully address the challenges.

#### **CHAPTER A: WQM PRINCIPLES THAT APPLY**

- **Principle 1:** Government-wide water quality management
- **Principle 2**: Subsidiarity and accountability
- **Principle 4:** Partnerships
- Principle 7: Water quality is a developmental issue



## ER B: INTEGRATED, ADAP **REGULATION & MANAGEMEN**

Spells out the nature of an integrated approach to adaptive WQM and key policy framings of the integrated approach to regulation and adaptive management of water quality, including integrated, adaptive catchment planning, following a risk-based approach; and applying a hierarchy approach to decision-making.

#### **CHAPTER B: WQM PRINCIPLES THAT APPLY**

- **Principle 3:** Transboundary water quality management
- **Principle 4:** Partnerships
- **Principle 5:** Administrative fairness and implementability
- **Principle 10:** An integrated approach
- **Principle 11:** Hierarchy of decision-making
- Principle 12: Green/ecological Infrastructure restoration and rehabilitation
- **Principle 13:** Risk-based approach



## **CHAPTER C: FINANCING WQM**

Examines the **financial underpinnings of IWQM**, looking at **tools for financing** the required actions, as well as the **role of the private sector** in this regard.

#### **CHAPTER C: WQM PRINCIPLES THAT APPLY**

• **Principle 4:** Partnerships

• **Principle 6:** Administrative Penalties

• Principle 8: Broadened funding mechanisms

• **Principle 9:** Polluter pays

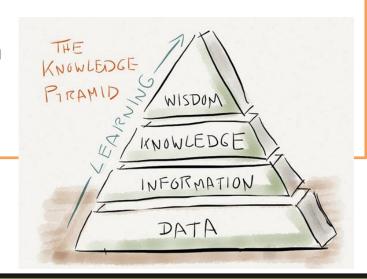


# CHAPTER D: KNOWLEDGE AND INFORMATION MANAGEMENT

This describes the policy with regard to the knowledge, human resource capacity and information base requirements to be able to implement the policy approaches

#### **CHAPTER D: WQM PRINCIPLES THAT APPLY**

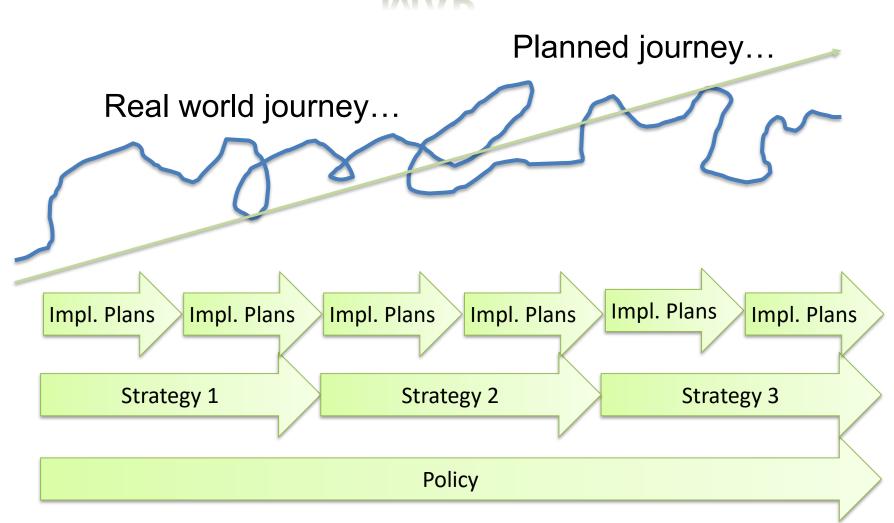
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# POLICY, STRATEGY AND IMPLEMENTATION MAP



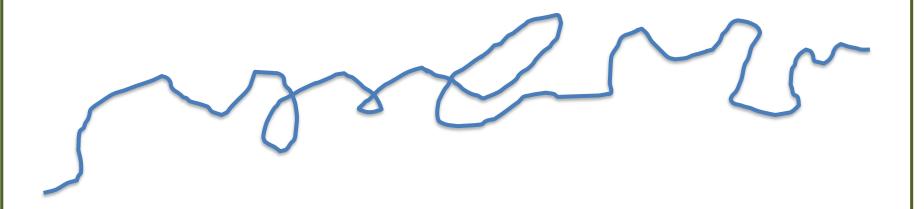
## GLOBAL GOOD PRACTICE

### Global best practice in the private sector:

- → place less emphasis on sticking to a strategic plan than on building a rich, engaging corporate purpose
- √ focus less on formal structural design and more on effective management processes
- → moving beyond the doctrine of strategy, structure, and systems
   to a more organic model built on the development of purpose,
   process, and people

## GLOBAL GOOD PRACTICE

How can we ensure that, in this strategy, we also build the capability of people and institutions to manage in an adaptive, integrated and pro-active manner?



## STRATEGIC INTENT

- ✓ It is aligned with the strategic objectives of the policy, while being consistent with broader water and development strategies (NDP, NWRS2)
- ✓ It is focused on a short term timeframe, while building a platform for future strategies in line with the policy and visions for water quality management
- ◄ It prioritises a limited number of critical concerns, while ensuring that
  other issues are addressed through ongoing management or
  monitoring for future prioritisation
- → It is relevant at national, catchment and local scales, while ensuring horizontal alignment across sectors and institutions at each scale
- ✓ It guides the actions/activities to be described in the implementation plans on a short-term basis
- ◄ It allows for adaptive response to changing circumstances and achievements based on effective ongoing monitoring and evaluation, during its timeframe

## WQM VISION, MISSION, GOALS

#### **VISION**

Government, in partnership with private sector and civil society secure good water quality for all forever



#### **MISSION**

Support a consistent interdepartmental approach to how water quality is managed in the country. Support cooperative and integrated approaches to water quality management across sectors, including civil society.

Adopt an adaptive management approach in which co-creation and co-learning by key players is entrenched.

Realise improvements in water quality in key systems.

Start to build capacity for longer-term improvement in water quality



#### **GOALS**

Enabling

**Implementing** 

**Supporting** 

**Goal 1:** Improved Alignment of Policy, Strategy and Legislation

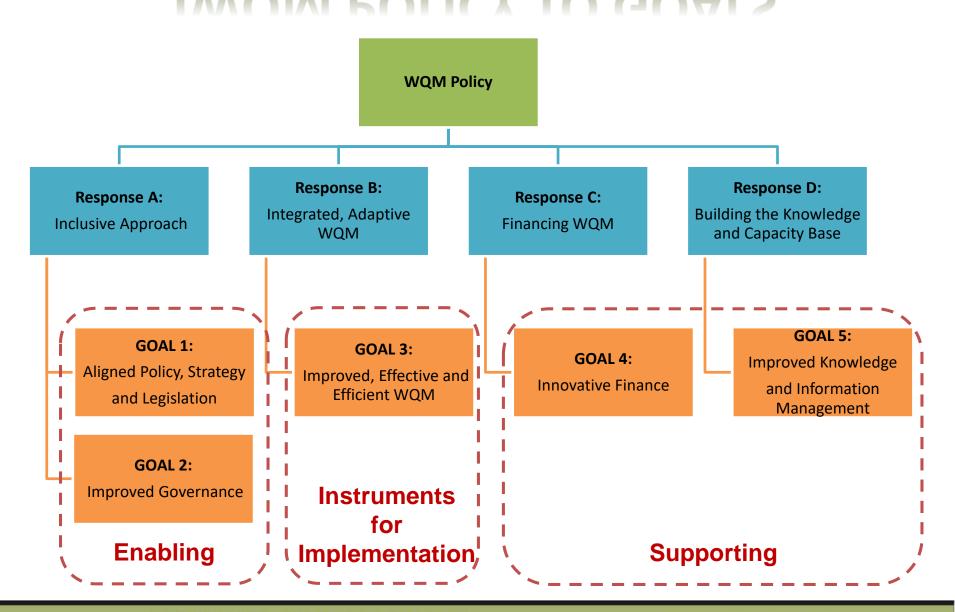
**Goal 2:** Improved Governance

Goal 3: Improved, Efficient and Effective WQM

**Goal 4:** Innovative Finance

**Goal 5:** Improved Knowledge and Information Management

# IWQM POLICY TO GOALS



# IWQM STRATEGIC ISSUES

#### **GOAL 1**

Aligned Policy, Strategy and Legislation

#### Strategic Issue 1

Harmonise Policies and Strategies to Enable Improved IWQM

#### Strategic Issue 2

Legislative Review and Amendments to Enable IWQM

#### GOAL 2

**Improved Governance** 

#### Strategic Issue 3

Improve IWQM Institutional Structuring

#### Strategic Issue 4

Formalise Governance Frameworks to Support Nongovernmental Engagements

#### **GOAL 3**

Improved, Effective and Efficient WQM Practice

#### Strategic Issue 5

Improve Coordination in Integrated Planning

#### Strategic Issue 6

Strengthen IWQM Regulation, Compliance and Enforcement

#### Strategic Issue 7

Application of Systems-based Adaptive Management Approaches

#### **GOAL 4**

**Innovative Finance** 

#### **Strategic Issue 8**

Improve and Sustain Fiscal Support for IWQM

#### Strategic Issue 9

Develop Pricing and Incentive Systems that Support IWQM

#### **GOAL 5**

Improved Knowledge and Information Management

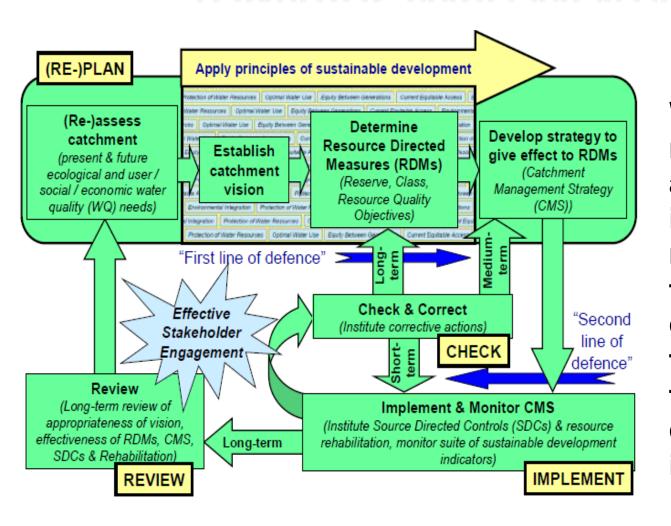
#### Strategic Issue 10

Strengthen Monitoring and Information Management

#### Strategic Issue 11

Build WQ and WQM Capacity through Education, Training and Communication

## TOWARDS IMPLEMENTATION



Whilst there is a need to change our approach to WQM, it must be recognised that there is a wellconceived framework for WQM that needs to continue being implemented.

The integrated water quality management process (DWAF, 2006)

## TOWARDS IMPLEMENTATION

#### Fix priority issues

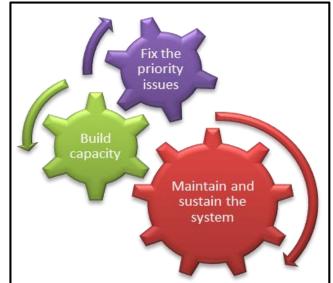
This will provide the **opportunity to realise success in addressing key issues** and will embark on looking at rehabilitation or remediation in critical catchments. This will also entail looking at priority issues that can support the building of capacity and the on-going application of exiting instruments.

#### **Build capacity**

Whilst it is understood that the capacity that the water sector requires will not be in place within the short term, in terms of skills, integrated information and systems, this is an important issue that needs to be driven immediately and continued over time in order to build adaptive management capacity. This also includes the development of cooperative partnerships across government, private sector and civil society.

#### Maintain and sustain the system

The on-going processes that the DWS has in place will continue to be utilised to manage water quality across the country. These instruments and systems may require strengthening over time. This could involve exploring ways in which to improve and simplify some these instruments. This would include a drive to look at where instruments and systems can be integrated between departments in order to improve inter-departmental approaches.



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## **NEXT STEPS...**

- → Gazette Edition 1 of WQM Policy for comment and further revise the Policy to produce Edition 2 (final edition) based on:
  - further inputs from wider stakeholder groups (and the public)
  - the outcomes of the IWQM Strategy development process.
- → Develop IWQM Strategy Edition 2 and Implementation Priorities based on:
  - Inputs from provincial workshops
  - Inputs from Project Committees
  - Inputs from focused discussions with key stakeholder groups
- → Review of WQM Function and Institutional Structure
- → National Inter-Departmental Workshop Nov/Dec 2016
- → National Symposium April 2017



## Thank you

For more information, please refer to

https://www.dws.gov.za/projects/iwqms/default.aspx

or contact:

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